



CORPORATE COMPLIANCE OFFICER

Dutchess County Government
Salary Range: \$72,233-88,662 (2024 Salary)

This is a provisional position pending a future civil service exam. This is an administrative position responsible for the development, implementation, maintenance and auditing of the County's Corporate Compliance Plan. The incumbent will work to ensure compliance with the various laws, regulations, and standards for which the County is required to adhere. The work involves formulating policy; prescribing a training program for employees, contractors or their agents; identifying areas of noncompliance; creating solutions and/or corrective action(s); and ensuring proper tracking and recordkeeping. The incumbent coordinates with the County Executive's Office to develop improvement plans and provides periodic reports to the Compliance Committee. Work is performed under the general direction of the Chief of Staff, with a wide latitude allowed for the use of independent judgment. Direct and general supervision may be exercised over the work of lower-level support personnel.

TYPICAL WORK ACTIVITIES:

Develops and monitors the Dutchess County Corporate Compliance Program and conducts routine audits to evaluate processes to ensure compliance with standards and policies, identify weaknesses, trends, and overall effectiveness, and recommends methods to improve efficiency and quality of services to reduce vulnerability to fraud, abuse, waste or misconduct; Develops and implements a compliance work plan which outlines the County's proposed strategy for meeting its compliance requirements; Reports periodically to the County Executive, or their designee, and the Compliance Committee regarding the effectiveness of the plan, processes and polices and any actions taken for noncompliance or improvement; Assists in establishing methods to improve the efficiency and quality of services of programs, and reducing vulnerability to fraud, waste, and abuse; Develops and implements sound internal controls, processes and policies designed to ensure compliance with applicable Federal, State and local laws, rules, regulations and standards, including the sanction screening process; Oversees development of data collection tools and gathers statistical data as required by regulations. Establishes and maintains a training program and advice to employees, contractors and/or their agents and ensures compliance education is included as part of division specific annual mandatory trainings and completed by all staff, contractors and/or their agents; Formulates new policies and evaluates current policies to ensure compliance with any legal or regulatory requirements; Investigates and timely addresses complaints of noncompliance, fraud, waste, abuse or other misconduct and ensures proper reporting to the appropriate regulatory agency or personnel; Ensures proper record keeping of complaints, reports, investigations, accounts, training, or financial and statistical data; Liaisons with County Attorney's Office for legal assessment on noncompliance issues or complaints, interpretation of laws, rules or regulations and legal compliance requirements; Maintains organizational memberships and subscriptions to keeps abreast of all compliance updates or changes in any laws or standards; Utilizes appropriate methods for interacting effectively and professionally with persons of all ages and from diverse cultural, socioeconomic, educational, racial and ethnic backgrounds, sexual orientations, lifestyles and physical abilities.

MINIMUM QUALIFICATIONS:

- (A) Master's Degree in Business or Public Administration, Accounting, Finance, Healthcare, Legal Studies, or a closely related field and two (2) years of experience at an administrative, managerial, or leadership level where the primary function of the position was in regulatory management, administrative compliance, risk management, quality assurance and improvement, or administrative oversight of fiscal services in a large agency (i.e. 100 or more employees); OR
- (B) Bachelor's Degree in Business or Public Administration, Accounting, Finance, Healthcare, Legal Studies, or a closely related field and four (4) years of experience as defined in (A) above.

NOTE: Professional Certification as a Certified Compliance & Ethics Professional (CCEP) may be substituted for up to one (1) year of the required work experience.

NOTE: Your degree or college credit must have been awarded by a college or university accredited by a regional, national, or specialized agency recognized as an accrediting agency by the U.S. Department of Education/U.S. Secretary of Education.

SPECIAL REQUIREMENTS:

Possession of a valid driver license in order to operate a motor vehicle in New York State at time of application and to maintain position.

FINGERPRINTING: A fingerprint supported background investigation is required before an appointment is made to some positions. Pursuant to New York State Executive Law, the Division of Criminal Justice Services requires that a fee accompany each such request for a search. It is due once a job offer is made and accepted by the applicant.

For immediate consideration, submit an application on or before January 31, 2025.

Apply online at www.dutchessny.gov/jobs,

OR

Download an application from www.dutchessny.gov/jobs,
and mail it to the Dutchess County Department of Human Resources, 22 Market Street,
Poughkeepsie, NY 12601

An EEO/AA Employer

Posted: 12/19/24